IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF CONNECTICUT

CONSUMER FINANCIAL PROTECTION BUREAU, Plaintiff, v. 1ST ALLIANCE LENDING, LLC, *et al.*, Defendants.	Case No. 3:21-CV-00055-RNC

DEFENDANTS' EXHIBITS IN SUPPORT OF DEFENDANTS' COMBINED MOTION FOR SUMMARY JUDGMENT

Defendants 1st Alliance Lending, LLC, John DiIorio, and Kevin St. Lawrence (collectively, "Defendants") respectfully submit and attach hereto true and correct copies of the exhibits that Defendants intend to cite in Defendants' Combined Summary Judgment Memorandum and their Local Rule 56(a)(1) Statement of Undisputed Material Facts, which will be filed with Defendants' Motion for Summary Judgment. The following is a list of those exhibits.

D. Ex. #	Description
1	1st Alliance Compliance Policy Re New Laws and Regulations. (Mar. 9, 2017)
2	1st Alliance Lending, LLC Training Policy
3	Samantha Rogers Transcript, February 7, 2022
4	1st Alliance Safe Act Compliance Policy. (Dec. 13, 2019, Rev. 4)
5	1st Alliance Compliance Management System Policy
6	1st Alliance Lending Compliance Policies and Procedures (Rev. 2, Nov. 3, 2014) (1)
7	1st Alliance Licensing Policy
8	1st Amendment to DECD Agreement
9	1st Alliance Safe Act Compliance Policy. (Oct. 12, 2016, Rev. 3)
10	1st Alliance SC Refresher Training (Oct. 2, 2017)

11	1st Alliance Purchase Inquiry – Submission Coordinator ("How to Stay Compliant") (Dec. 1, 2017)
12	1st Alliance MLO Job Duties
13	1st Alliance May 2017 Org Chart
14	B. Massey Email, February 1, 2018
15	1st Alliance Letter to Regulators
16	List of 1st Alliance Employee, as of May 3, 2018
17	Plaintiff Supplemental Damages Analysis
18	CFPB Consent Order, February 24, 2014
19	1st Alliance's Supplemental Responses to CFPB's 1st Rogs, January 27, 2023
20	Attachment A-1 to CFPB's Responses to 1st Alliance's 1st Rogs, March 11, 2022
21	B. Massey's Email, January 5, 2017
22	1st Alliance Response to Internal Audit, August 31, 2018
23	1st Alliance Policy and Procedures, January 7, 2015
24	NMLS Profiles of 1st Alliance Employees
25	J. DiIorio Declaration, November 23, 2022
26	1st Alliance New Hire Training
27	1st Alliance Associate VP of Sales Job Description
28	Uniform Residential Loan Application
29	1st Alliance Policy for Home Loan Consultants, June 20, 2018
30	A. Pinnow and A. Charlton Transcript, February 11, 2020
31	1st Alliance Org Chart
32	B. Massey Email, February 1, 2018
33	C. Ferland Email, April 6, 2018
34	M. Murdock and S. Jenkins Transcript, February 6, 2019
35	B. Massey Transcript, December 18, 2019
36	CFPB Consumer Laws and Regulations
37	C. Grice Transcript, May 11, 2022
38	1st Alliance Policy and Procedure, February 7, 2013
39	M. Drega Email Chain, March 26, 2018
40	S. Aramburu Email Chain, April 11, 2019
41	K. Murphy Email Chain, December 14, 2018
42	K. Murphy Email Chain, October 30, 2018
43	A. Bedard Email Chain, March 15, 2018
44	K. Murphy Email Chain, May 9, 2018
45	D. Ryan Email Chain, August 28, 2017

46	Consumer D.K. File Byte Data Log
47	1st Alliance 2017 Internal August, October 9, 2017
48	M. Drega Email Chain, March 27, 2018
49	Ellie Mae Letter, November 25, 2019
50	CFPB Information Request Letter, October 28, 2016 [FILED UNDER SEAL]
51	C. Watts Audit Report [FILED UNDER SEAL]
52	Montana Division of Banking and Financial Institutions Letter, December 17, 2019 [FILED UNDER SEAL]
53	2019 New Hampshire Examination Report [FILED UNDER SEAL]
54	2019 Vermont Examination Report [FILED UNDER SEAL]
55	Pennsylvania Department of Banking and Securities Letter, June 26, 2019 [FILED UNDER SEAL]
56	2018 Virginia Examination Report [FILED UNDER SEAL]
57	2019 Iowa Examination Report [FILED UNDER SEAL]
58	2018 Illinois Examination Report [FILED UNDER SEAL]
59	2017 Massachusetts Examination Report [FILED UNDER SEAL]
60	2017 Maryland Examination Report [FILED UNDER SEAL]
61	2019 MMC Multi-State Examination Report [FILED UNDER SEAL]

DATE: February 26, 2024

Respectfully submitted,

/s/ Mitchel H. Kider

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